# Pedestrian Density Analysis in Public Scenes with Spatio-Temporal Tensor Features

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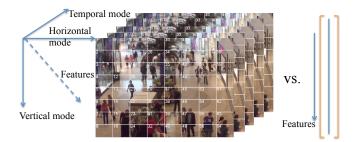
Abstract-Pedestrian density estimation is one of the key problems in intelligent transportation systems and has been widely applied to a number of applications in other fields of engineering. Counting-by-regression methods are more favourable for coping with such a problem owing to their robustness against interperson occlusion and relaxing the impractical requirement of a high video frame rate, compared to counting-by-detection and counting-by-clustering methods. However, imagery features in the existing counting-by-regression approaches are extracted from the whole region or spatially-localised cells/pixels of each single video frame, which omits the unique motion patterns of the same pedestrians across the neighbouring frames. In the light of this, this paper exploits a novel tensor-formed spatio-temporal feature representation and applies it in a multilinear regression learning framework, which can capture spatially-distributed dynamic crowd patterns by discovering the latent multi-dimensional structural correlations of tensor features along both spatial (i.e., horizontal and vertical) and temporal dimensions. Extensive evaluation with the public UCSD and Shopping Mall benchmarks demonstrate superior performance of our approach to the stateof-the-art counting methods even when the surveillance data has a low frame rate.

Keywords—Pedestrian density analysis, spatio-temporal features, tensor, regression, multilinear learning.

## I. INTRODUCTION

PEDESTRAIN density analysis under a monocular surveillance camera is one of the active research topics, which has its significance in designing and monitoring public transportation system [1], [2], [3]. Specifically, pedestrian density estimation is to predict the exact number of pedestrians in global or spatially-distributed locations of public scenes, which can also be termed as pedestrian counting problem. The crowd density can be a useful information in other related problems such as person detection and tracking [4], anomaly behaviour detection [5], and dynamic crowd pattern analysis [6]. The problem remains challenging due to the low resolution of surveillance videos, the occlusion between people, and severe perspective distortion.

To cope with such a problem, a number of algorithms have been proposed, which can fall into three main categories: counting-by-detection [7], [8], [9], counting-by-clustering [10], [11] and counting-by-regression [2], [12], [13], [14], [15], [16],



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Figure 1. Tensor-formed vs. vector-formed imagery representation. The latent structural information underlying the video can be mined by tensor decomposition along each mode, i.e., spatial (horizontal and vertical) and temporal dimensions. For exploiting the rich structure of tensor feature representation instead of vector-formed features, we adopt a novel tensor learning framework to capture dynamic crowd patterns in both spatial and temporal domains.

[17], [18], [19], [20], [21], [22], [23], [23], [24]. Countingby-detection frameworks [7], [8], [9] calculate the number of detected pedestrians and can accurately locate persons at the cost of time-consuming exhaustive scan of the whole image space and are less robust against inter-person occlusion. Counting-by-clustering methods [10], [11] assume that each pedestrian has a unique coherent motion pattern and work well only with a sufficiently high video frame rate to obtain reliable motion information. Counting-by-regression methods, [2], [12], [13], [14], [15], [16], [17], [18], [19], [20], [21], [22], [25], [23] learn a regression mapping from low-level imagery features to the pedestrian count directly and are able to overcome the aforementioned drawbacks. Specifically, countingby-regression methods are computationally fast, more robust against occlusions as compared to counting-by-detection, and can relax the strong assumption of temporal motion tracking of counting-by-clustering frameworks.

Existing regression-based approaches [13], [15], [19] mainly focus on exploiting globally the robust and informative feature representation to learn a discriminative linear combination function. Some efforts were devoted to adding location information to feature space at the cell-level [14] or pixel-level [26], [27]. The aforementioned imagery representation was limited to the spatial domain, which inspired a number of works to discover and utilise the temporal correlation of features across video frames [28], [29], [30], [31], [32]. These spatio-temporal frameworks are aimed at estimating the number of pedestrian flow crossing the Line of Interest (LOI or termed as the virtual gate), which were constrained by the settings of virtual gates and dependent on a high frame

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rate (e.g., the frame rate of the datasets used in [28], [29], [30], [31], [32] is at least 7 fps [19]). In practice, the setting of a high frame rate increases the requirement of hardware, data transmission and computational complexity of algorithms in visual surveillance systems. Consequently, incorporating temporal information into the existing counting-by-regression frameworks and the capability of handing with a low frame rate data motivate us to develop novel spatio-temporal features using tensor representation, which is suitable for complex real-world applications because of the representation containing multi-dimensional dependency (i.e., the spatial and temporal correlation in pedestrian density estimation).

We consider that mining spatial and temporal correlations of low-level local features from adjacent cells between neighbouring frames is important to achieve accurate and robust counting results in a unique regression framework, which is missing in all existing techniques. Intuitively, the concept of our method is extremely simple: crowd density pattern in each localised region seeks support from neighbouring regions (cells) in a temporal sliding window. To achieve that, an intermediate spatio-temporal descriptor for pedestrian density estimation is developed in the form of tensor, which is learned by multilinear regression models to mine the underlying latent structure (i.e., the latent spatial and temporal correlations of dynamic localised crowd patterns). The aim of our proposed framework can be achieved by tensor decomposition, which will be constrained to learn a linear combination of feature components at each mode (order) of tensor. In other words, the multilinear learning model with the tensor feature learns the regression weights by addressing multiple linear mapping problems constrained in each domain simultaneously. This is different from other frameworks that use a simple linear combination of the concatenated vectors. The difference between tensor-formed features and concatenated vector features adopted in the existing literatures for pedestrian counting are illustrated in Figure 1. Moreover, the proposed spatio-temporal tensor representation for video frames can relax the strong requirement of a high frame rate and cope with bigger temporal difference between the frames by simply adjusting the size of temporal sliding window. The main contributions and novelties of this study are three-fold:

- An intermediate spatio-temporal feature representation based on localised regions is for the first time exploited in the form of tensor to incorporate both spatial and temporal information for capturing dynamic pedestrian patterns in the cases of spatial Region of Interest (ROI) and temporal Line of Interest (LOI) in pedestrian counting.
- A multilinear learning model, i.e., tensor ridge regression, is introduced for the first time to estimate pedestrian density by mining the latent structural information of spatio-temporal tensors along both spatial and temporal dimensions (tensor modes).
- Extensive experiments on two public benchmarks for crowd density estimation demonstrate the superior performance achieved by our method over the state-of-the-arts, even for the data with a low frame rate.

#### II. RELATED WORK

Pedestrian Density Estimation - The existing algorithms for estimating the density of crowd in public scenes are either detection based [7], [8], [9], clustering based [10], [11] or regression based [2], [12], [13], [14], [15], [16], [17], [18], [19], [20], [21], [22], [25], [23]. Counting persons in the scenes in a regression framework is more suitable for public crowded scenes owing to its robustness against inter-person occlusion and is thus widely adopted in the recent work [2], [12], [13], [14], [15], [16], [17], [18], [19], [20], [21], [22], [25]. In [12], [13], [15], [16], [19], imagery feature representation extracted from the entire spatial space were mapped to a scalar-valued continuous count label. However, global features missed the important location information that provides spatially varying crowd patterns caused by density, scene layout, and self-organization of crowd [33]. In light of this, localised features based on cells [14], [25] or pixels [26], [27] have been employed relaxing the global assumption and adapting spatially aware features which are more accurate and reliable for crowd counting. Nevertheless, the existing regression frameworks for crowd density estimation assumed implicitly that the models are linear combination of the spatial features, which is largely invalid in real-worlds scenarios. In particular, crowd density pattern can vary differently according to its location in spatial and temporal domains, which can not be described by a simple linear combination. For the purpose of learning the latent structural information underlying the crowd structures in spatial and temporal domains, we propose a spatio-temporal tensor feature representation, which can be learned effectively by a multilinear learning model.

Multilinear Learning for Tensor Data – Tensor encoded data with multiple dimensions have received increasing attention, which is widely encountered in science and engineering [34], [35], [36], [37], [38], [39], [40]. Tensors having rich structural information can be utilised in different ways according to the multi-dimensional dependency of the specific problems. Recently, Guo et al [34] exploited a tensor-to-scalar learning framework and applied it to a number of applications in still images. Geng et al [37] proposed an alternative way by discovering the sample correlation in pose, illumination, and person tensor modes, which can cope with the missing value problem. Zhao et al [38] developed a tensor-to-tensor regressor to discover and utilise the complex correlation between multioutput tensor elements. In this paper, considering the characteristics of crowd density estimation problem, a multilinear model (i.e., tensor ridge regression) is employed to capture not only spatial but also complex temporal correlation of localised features. Note that, any existing multilinear regressor can be adopted here and we choose tensor ridge regression in this paper because of its simple structure and the significance as the direct competitor to ordinary ridge regression in the existing work [14], [15], [16]. Experiment results demonstrate the effectiveness and superior performance of exploiting the tensor-formed spatio-temporal features to the state-of-the-art methods in pedestrian density analysis.

**Pipeline and Paper Structure** – As shown in Figure 2, an overview of our framework is given with the following steps:

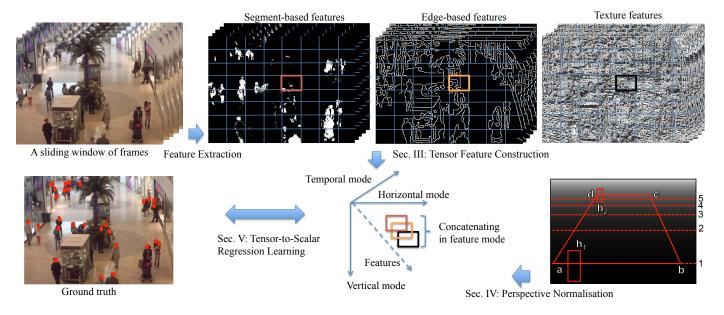


Figure 2. The pipeline of a multilinear regression learning framework using the proposed spatio-temporal tensor feature representation.

- Given a set of training images, we extract low-level spatial imagery features from localised regions including segment-based features, edge-based features, and local texture features. A spatio-temporal tensor-formed feature can then be constructed to combine the spatial tensor features from a sliding window of frames in the temporal domain (Sec. III).
- To alleviate the issues of perspective distortion, we infer a
  map which normalise the perspective effects by measuring
  the ratio of changes of an object at locations near and far
  away from the camera (Sec. IV).
- A multilinear regression model is trained using the spatio-temporal tensor feature inputs and the scalar-valued pedestrian count as a training pair (Sec. V). The model is applied to the two popular problems of pedestrian counting: region of interest and crossing virtual gates.

Given a new test frame, features are first extracted and then fed into the learned multilinear regression model for estimating a continuous value indicating the crowd count in the scene.

#### III. SPATIO-TEMPORAL TENSOR FEATURES

We denote the current video frame by  $i, i = 1, 2 \dots N$  where N denotes the total number of training frames and the corresponding ground truth pedestrian count is  $y_i$ . We first generate training video shots within a temporal sliding window consisted of the frames  $F = \{i-k, i-k+1, \dots, i, i+1, \dots, i+k\}$  with the total of  $\|F\| = 2k+1$  frames in each shot. The reference frame and its count value are  $< i, y_i >$  (Figure 3). Consequently, we will have N video shots for training, where the first and last k shots have imbalanced window width to keep the consistent window size and the remaining shots are anchored with the center of the reference frame and a balanced window width. We then

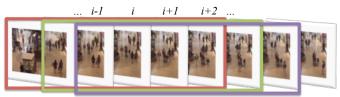
Table I. Contents of the low level feature vector.

|         | Feature               | Dims | Params  |
|---------|-----------------------|------|---|
|         | Area                  | 1    | _   |
| Segment | Perimeter             | 1    | _   |
| Ĕ       | Perimeter-area ratio  | 1    | _   |
| Şe      | Perimeter orientation | 6    | $0^{\circ}, 30^{\circ}, 60^{\circ}, 90^{\circ}, 120^{\circ}, 150^{\circ}$ |
| 0,1     | Blob count            | 1    | the size of blob $> 10$ pixel   |
| - O     | Edge                  | 1    | _   |
| Edge    | Edge orientation      | 6    | $0^{\circ}, 30^{\circ}, 60^{\circ}, 90^{\circ}, 120^{\circ}, 150^{\circ}$ |
| 田       | Edge Minkowski        | 1    | _   |
| ıre     | Texture homogeneity   | 4    | $0^{o}, 45^{o}, 90^{o}, 135^{o}$  |
| Texture | Texture energy        | 4    | $0^{\circ}, 45^{\circ}, 90^{\circ}, 135^{\circ}$                          |
| Te      | Texture entropy       | 4    | $0^{o}, 45^{o}, 90^{o}, 135^{o}$  |

spatially divide each frame of the *i*th video shot into  $H \times W$  grid regions to form a spatio-temporal volumes consisting of  $H \times W \times F$  localised cells. For each localised cell, three types of features are extracted to form a D-dimensional feature vector (D=30 in our case) as in [12], [14], [15], [16], [19], [20] with more details given in Table I as well as the following paragraphs. Before extracting the features, we transform the frames into gray-scale.

**Segment-Based Features** – Foreground segmentation is a widely adopted technique for crowd density estimation because of its simple and direct correspondence between pedestrian count and the statistics of foreground segments. To obtain the foreground, background subtraction, such as mixture of Gaussians-based technique [41] or mixture of dynamic textures-based method [42], is utilised with generating the following features from the extracted foreground segment:

• Area – a total number of pixels in the segment.



Temporal sliding window at different time instant

Figure 3. A short temporal sliding window shot used by our framework.

- Perimeter a total number of pixels on the segment perimeter.
- Perimeter-area ratio a ratio between the segment perimeter and area to measure the complexity of the segment shape.
- Perimeter orientation orientation histogram of the segment perimeter.
- Blob count the number of connected components with area larger than a predefined threshold.

**Edge-Based Features** – Foreground segment based features can capture the global properties of moving segments, but severe inter-person occlusions often appearing in highly crowded scenes make them less reliable. This has motivated researchers to take edge based features into account to measure occlusion edges [12], [14], [15], [16], [18], [19], [20], [43]. In this work, we adopt the following features with the edges detected by Canny edge detector [44]:

- Edge total number of edge pixels.
- Edge orientation histogram of the edge orientations in the segment.
- Minkowski dimension the Minkowski fractal dimension or box-counting dimension of the edges [45], which counts how many pre-defined structuring elements are required to fill the edges.

To reduce the negative effect of irrelevant edges, we apply the binary foreground segments as a mask to the Canny edge image prior to feature extraction.

**Texture Features** – Beyond the segment-based and edge-based features, the crowd texture and gradient patterns reflect the appearance and shape of local objects, e.g., the human head and shoulders, and carry additional cues about pedestrian density. Gray-Level Co-occurrence Matrix (GLCM) [46] is widely used in various crowd counting studies [12], [14], [15], [16], [19], [20], [25]. Typically, we quantise the image masked by the foreground segment into 8 gray-levels and then estimate the joint probability or co-occurrence of neighbouring pixel values,  $p(i,j \mid \theta)$  for four orientations, which are given in Table I. After extracting the co-occurrence matrix, a set of features can be derived for each  $\theta$ .

- Texture homogeneity a smoothness measure  $g_{\theta} = \sum_{i,j} \frac{p(i,j \mid \theta)}{1 + |i j|}$ .
- Texture energy total sum-squared energy  $e_{\theta} = \sum_{i,j} p(i,j \mid \theta)^2$ .
- Texture entropy a randomness measure  $h_{\theta} = \sum_{i,j} p(i,j \mid \theta) \log p(i,j \mid \theta)$ .

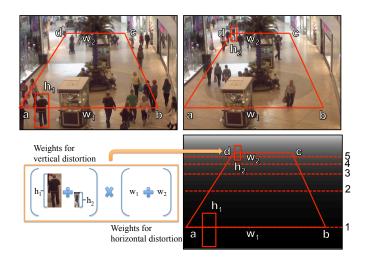


Figure 4. A perspective normalisation map can be generated by linear interpolation according to the relative changes of a reference person's height and the width of horizontal line of a predefined quadrilateral at different depth in the scene.

**Spatio-Temporal Tensor Features** – After the extraction of D-dimensional segment, edge and texture features described above, an intermediate tensor-formed spatio-temporal imagery feature is constructed from each cell region (h, w) and each frame f of ith training shot:

$$\mathcal{X}_i \in \mathbb{R}^{H \times W \times F \times D}. \tag{1}$$

Given the actual people count  $y_i$  in the reference frame of each shot, we obtain the training pairs  $\{\mathcal{X},y\}_i$ ,  $i=1,2,\ldots,N$ , which are used as input and output in supervised training of a multilinear regression model.

#### IV. PERSPECTIVE NORMALISATION

The problem of perspective distortion, i.e., the object appearing in a smaller size when moving away from the surveil-lance camera, can make the extracted features from different spatial locations in the scene inconsistent, which increases the difficulty in training regression models. The straightforward solution to mitigate the suffering of perspective distortion is to divide the whole images into a number of local regions, each of which is modelled by a separate regression function [23]. However, the price of using numerous local regressors is to increase the computational cost and also not scalable. The alternative approach is perspective normalisation which is performed to bring perceived size of objects at different depths to the same scale by multiplying each pixel by a weight (the farther away from the camera the pixels are, the larger weight they use) before the features are fed to train regression models.

The typical method based on linear interpolation for perspective normalisation widely adopted in recent work [12], [14], [15], [16], [19], [20], [26], [27] is described in [12], [19]. The work flow to generate a perspective map is illustrated in Figure 4, which can be presented as the following steps:

- 1. Four landmarks (e.g., points *a*, *b*, *c*, and *d* in Figure 4) in the scene is first selected to form a quadrilateral, which corresponds to a rectangle.
- A reference pedestrian is selected to measure the vertical distortion on the horizontal lines \$\overline{ab}\$ and \$\overline{cd}\$ by using the ratio between the pedestrian's height \$h\_1\$ and \$h\_2\$ at two extremes, i.e., its bounding box's center touches the \$\overline{ab}\$ and \$\overline{cd}\$. Horizontal distortion can be easily employed the ratio of the width \$w\_1\$ and \$w\_2\$ of the \$\overline{ab}\$ and \$\overline{cd}\$. The weights at \$\overline{ab}\$ and \$\overline{cd}\$ are then assigned as 1 and \$\frac{h\_1w\_1}{h\_2w\_2}\$ respectively.
   Considering the pixel-level adjustment employed, the
- 3. Considering the pixel-level adjustment employed, the remaining weights of the scene can be determined using the corresponding linear interpolated h' and w' as well as the weight of the  $\overline{ab}$  line and the height of the reference pedestrian passing  $\overline{ab}$  (i.e.,  $\frac{h_1w_1}{h'w'}$ , where the interpolants h' and w' can be computed by linear interpolation with using  $\{h_1, w_1\}$  and  $\{h_2, w_2\}$ .

When applying the weights to features, the characteristics of different features must be taken into account [19]: for foreground segment pixels, the original weight of the perspective map is adopted; for the pixels of edge images, they are weighted by square-roots of the weights; and GLCM features are normalised by weighting the occurrence of each pixel pair when accumulating the co-occurrence matrix.

#### V. REGRESSION LEARNING WITH TENSOR DATA

With the low-level imagery feature representation and pedestrian count  $\{\mathcal{X},y\}_i$ ,  $i=1,2\ldots N$ , a multilinear regression can be adopted to capture the latent correlation underlying in the feature tensors. Ridge regression has been proven its effectiveness in addressing crowd counting problem [14], [15], [16], [20], [47], which is also investigated in Sec. V-A for the completeness. The details of tensor ridge regression is presented in Sec. V-B.

## A. Ridge Regression

Before giving more details about tensor ridge regression, we first introduce ridge regression given vector-formed features  $x_i \in \mathbb{R}^m$  and scalar-valued label  $y_i \in \mathbb{R}$ , which can be formulated as the following

min 
$$\frac{1}{2}||\boldsymbol{w}||_2^2 + C\sum_{i=1}^N loss(y_i, f(\boldsymbol{x}_i; \boldsymbol{w}, b))$$
, (2)

where  $w \in \mathbb{R}^m$  is the weight vector to be optimised,  $b \in \mathbb{R}$  is the bias term, and  $C \in \mathbb{R}$  denotes the trade-off parameter between the regularised term and the loss function loss(·). The regression mapping function is given as follows:

$$f(\boldsymbol{x}_i; \boldsymbol{w}, b) = \sum_{j=1}^{m} \boldsymbol{w}_j \boldsymbol{x}_{ij} + b = \boldsymbol{x}_i^T \boldsymbol{w} + b , \qquad (3)$$

where  $w_j$  and  $x_{ij}$  are jth element of vector w and  $x_i$  respectively.

With using a quadratic loss function  $loss(a) = ||a||_2^2 = a^T a$ , Equation (2) can be written as:

min 
$$\frac{1}{2}||\boldsymbol{w}||_2^2 + C\sum_{i=1}^{N}||\langle \boldsymbol{x}_i, \boldsymbol{w}\rangle + b - y_i||_2^2$$
. (4)

Considering the differentiability of Equation (4), the gradient of such an object function is enforced to be zero, which thus has the following close-form solution:

$$\begin{bmatrix} \boldsymbol{w} \\ \boldsymbol{b} \end{bmatrix} = -(\boldsymbol{Q}^T \boldsymbol{Q})^{-1} \boldsymbol{Q}^T \boldsymbol{p} , \qquad (5)$$

where positive semi-definite matrix  $\boldsymbol{Q}$  and vector  $\boldsymbol{p}$  can be obtained as

$$Q = \begin{bmatrix} 2C \sum_{i=1}^{N} \boldsymbol{x}_{i} \boldsymbol{x}_{i}^{T} + \boldsymbol{I} & 2C \sum_{i=1}^{N} \boldsymbol{x}_{i} \\ 2C \sum_{i=1}^{N} \boldsymbol{x}_{i}^{T} & 2CN \end{bmatrix} ,$$

$$p = \begin{bmatrix} -2C \sum_{i=1}^{N} \boldsymbol{x}_{i} y_{i}^{T} \\ -2C \sum_{i=1}^{N} y_{i}^{T} \end{bmatrix} .$$
(6)

The parameter  ${\cal C}$  is typically determined by n-fold cross validation.

## B. Tensor Ridge Regression

Conventionally, ridge regression widely adopted in pedestrian density estimation [14], [15], [16], [20], [23] is to learn a discriminative linear function with a vector-to-scalar mapping. Recently, a multilinear extension based on ridge regression were proposed to discover the multi-dimensional latent dependency in tensor features [34], [36] with pairs of tensor input and scalar output. In addition to fair comparison with state-of-the-art frameworks based on ridge regression [14], [15], [16], [20], [23], tensor ridge regression (TRR) is employed to map the proposed spatio-temporal tensor feature representation to person count in this paper owing to its simple implementation and high computational efficiency.

In mathematics, given  $\{\mathcal{X}, y\}_i$ ,  $i = 1, 2 \dots N$  as the observation tensor and target scalar (person count), tensor ridge regression is aimed to learn a discriminative function that fits the tensor input and scalar output as the following:

$$f(\mathcal{X}; \mathcal{W}, b) = \langle \mathcal{X}, \mathcal{W} \rangle + b$$

$$= \sum_{h=1}^{H} \sum_{w=1}^{W} \sum_{f=1}^{F} \sum_{d=1}^{D} \mathcal{X}^{(h, w, f, d)} \mathcal{W}^{(h, w, f, d)} + b,$$
(7)

where  $\mathcal{W} \in \mathbb{R}^{H \times W \times F \times D}$  is the weight tensor and  $b \in \mathbb{R}$  is the bias value. By following the principle of CP composition to capture the latent structure of tensor data along each mode (intuitively discover the spatial and temporal correlation of feature representation),  $\mathcal{W} \in \mathbb{R}^{H \times W \times F \times D}$  can be constrained to be a sum of  $\mathbf{R} := rank(\mathcal{X})$  rank-1 tensors [48]:

$$\mathcal{W} \approx \sum_{r=1}^{K} z_r^{(1)} \circ z_r^{(2)} \circ z_r^{(3)} \circ z_r^{(4)} \triangleq \{ Z^{(1)}, Z^{(2)}, Z^{(3)}, Z^{(4)} \}, (8)$$

where  $\circ$  is the outer product of vectors and  $Z^{(j)}:=[z_1^{(j)},z_2^{(j)},\cdots,z_R^{(j)}],\ j=1,2,3,4.$  To learn the discriminative

function  $f(\mathcal{X}; \mathcal{W}, b)$ , tensor ridge regression is to minimise the regularised risk:

min 
$$\varepsilon = \frac{1}{2} \| \mathcal{W} \|_F^2 + C \sum_{i=1}^N \| \langle \mathcal{X}_i, \mathcal{W} \rangle + b - y_i \|_2^2,$$
 (9)

where  $\|\cdot\|_F$  denotes the Frobenius-norm and C is a parameter that controls the trade-off between the loss function and regularised term.

In the multilinear learning framework, at each iteration, for solving  $\mathcal{Z}^{(j)}$  parameters, keeping  $\mathcal{Z}^{(k)}, k \neq j$  fixed, object function (9) is thus reduced after CP decomposition to a subproblem as the following:

$$\min \quad \varepsilon_{j} = \frac{1}{2} \operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^{T} \mathcal{Z}^{(\hat{j})}(\mathcal{Z}^{(j)})^{T})$$

$$+ C \sum_{i=1}^{N} (\operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^{T} \mathcal{X}_{i(j)}^{T}) + b - y_{i})^{2},$$

$$(10)$$

where  $\mathcal{Z}^{(\hat{j})}$  denotes the Khatri-Rao product of  $\mathcal{Z}^{(k)}, k \neq j$  (e.g., when j=3,  $\mathcal{Z}^{(\hat{j})}$  is equal to the Khatri-Rao product of  $\mathcal{Z}^{(1)}$ ,  $\mathcal{Z}^{(2)}$  and  $\mathcal{Z}^{(4)}$ ) and  $\mathcal{X}_{i(j)}$  is the j-th mode feature for solving  $\mathcal{Z}^{(j)}$  parameters [34]. Similar to vector-formed ridge regression [49], for the optimal solution of the minimisation (10), the following equations can thus be obtained along the gradient-descent direction [50], [51]:

$$\begin{split} \frac{\partial \varepsilon_j}{\partial \mathcal{Z}^{(j)}} &= -2C \sum_{i=1}^{\mathrm{N}} (\operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^T \mathcal{X}_{i(j)}^T) + b - y_i) \\ &\qquad \times \mathcal{X}_{i(j)} \mathcal{Z}^{(\hat{j})} + \mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^T \mathcal{Z}^{(\hat{j})} = 0, \\ \frac{\partial \varepsilon_j}{\partial b} &= -2C \sum_{i=1}^{\mathrm{N}} (\operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^T \mathcal{X}_{i(j)}^T) + b - y_i) = 0. \end{split}$$

Evidently, the bias term b has the following closed-form solution dependent on unknown  $\mathcal{Z}^{(j)}$  as

$$b = \frac{1}{N} \sum_{i=1}^{N} (\operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^{T} \mathcal{X}_{i(j)}^{T}) - y_{i}), \tag{11}$$

whereas we cannot obtain the closed-form solution for  $\mathcal{Z}^{(j)}$  due to the failure of vectorisation in view of the existence of  $(\mathcal{Z}^{(\hat{j})})^T \mathcal{Z}^{(\hat{j})}$ . Similar to [34], the regularised term (i.e., the former) in (10) can be transformed into the sum of separable regularisation in each mode as [34] to achieve a close-form solution:

$$\zeta := \frac{1}{2} \operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^T \mathcal{Z}^{(\hat{j})}(\mathcal{Z}^{(j)})^T) = \frac{1}{2} \sum_{l=1}^4 \|\mathcal{Z}^{(l)}\|_F^2.$$
(12)

As a result, the partial derivative of the separable regularisation term (12) with respect to  $\mathcal{Z}^{(j)}$  can be written as

$$\frac{\partial \zeta}{\partial \mathcal{Z}(i)} = \mathcal{Z}^{(j)}.\tag{13}$$

Evidently,  $\|\mathcal{Z}^{(j)}\|_F^2 = \|\text{vec}(\mathcal{Z}^{(j)})\|_2^2$ , where  $\text{vec}(\mathcal{Z}^{(j)})$  generates a column vector obtained by stacking each column

vectors of  $\mathcal{Z}^{(j)}$  together [51]. It is worth mentioning here that  $\zeta$  adopt an independent assumption to relax the correlation across each mode. However, owing to the existence of  $(\mathcal{Z}^{(\hat{j})})^T\mathcal{Z}^{(\hat{j})}$  in the latter term of Equation (10), tensor ridge regression model can still benefit from mining rich structure of tensor-formed input  $\mathcal{X}$ . In view of  $\operatorname{trace}(\mathcal{Z}^{(j)}(\mathcal{Z}^{(\hat{j})})^T\mathcal{X}^T_{i(j)}) = \operatorname{vec}(\mathcal{Z}^{(j)})^T\operatorname{vec}(\mathcal{X}_{i(j)}\mathcal{Z}^{(\hat{j})})$ , the closed form solution can be readily derived via matrix inversion as the following:

$$\mathbf{z}^{(j)} = (2C\mathbf{K}^T\mathbf{K} + \mathbf{I})^{-1}\mathbf{K}^T\mathbf{y},\tag{14}$$

where the unknown parameter vector  $\boldsymbol{z}^{(j)}$  generated by stacking all the elements of  $\mathcal{Z}^{(j)}$  and bias term b to be optimised,  $\boldsymbol{K} \in \mathbb{R}^{N \times (N+1)}$  consisted of  $\boldsymbol{k} := [\operatorname{vec}(\mathcal{X}_{i(j)}\mathcal{Z}^{(\hat{j})})^T, 1] \in \mathbb{R}^{1 \times (N+1)}, i = 1, 2 \dots N, \ \boldsymbol{I} \in \mathbb{R}^{(N+1) \times (N+1)}$  is the identity matrix, and  $\boldsymbol{y} := [y_1, y_2, \cdots, y_N]^T \in \mathbb{R}^N$ . Note that, the tensor-to-scalar mapping in multilinear learn-

ing is along different mode each iteration until the model converges, which indicates that the model will optimise the parameters in multiple regression learning directions to capture the latent structural information represented by tensor features. Intuitively, using the proposed spatio-temporal tensor features for analysing pedestrian density, the dynamic crowd pattern across spatial regions and temporal frames can be discovered. The rational for exploiting Tensor Ridge Regression is that the model can cope with multicolinearity problem owing to its regularised least-square error minimisation with superior robustness than ordinary least square regression methods [52], [14] and also it has a simple closed-form solution suitable for practical applications such as pedestrian density estimation. Other multilinear regression methods such as Support Tensor Regression (STR) [34] and High-Order Partial Least Square Regression (HOPLS) [38] can also be employed.

# VI. EXPERIMENTS

To validate the effectiveness and generality of our multilinear counting-by-regression framework with spatio-temporal tensor features, the experiments were conducted to estimate the density of pedestrians within spatial Region of Interest (ROI) and crossing temporal Line of Interest (LOI), respectively. Finally, the discussion about multi-dimensional correlation (along spatial and temporal mode) of tensor-formed features was presented to visualise and verify the rationale of the proposed framework.

# A. Datasets and Settings

Table II. Dataset Details:  $N_f$  = Number of Video Frames, FPS = Frame Per Second, R = Range of Object Count, and Tp = Total Number of Pedestrian Instances.

| Data      | $N_f$ | FPS | R     | Tp    |
|-----------|-------|-----|-------|-------|
| UCSD [12] | 2000  | 10  | 11–46 | 49885 |
| Mall [14] | 2000  | <2  | 13–53 | 62325 |

**Datasets** – Experiments were conducted on the popular UCSD [12], [13], [14], [15], [16] and the Mall [14], [15], [16] benchmarks which feature realistic outdoor and indoor public scenes,



(b) Mall

Figure 5. The two popular benchmark datasets used in our experiments.

respectively. Technical details of the datasets are presented in Table II and the illustrative examples of low to high pedestrian density are shown in Figure 5. The Mall dataset is more challenging compared to the UCSD dataset in the light of the following reasons:

- lower frame rate leading to increased difficulty in utilising temporal information;
- more dense crowd density causing more frequent interperson occlusions as well as the occlusion by the scene objects;
- the changes of lighting conditions and glass surface reflections making feature representation inconsistent; and
- different activity patterns in the scene (both still and moving pedestrians).

**Settings** - For the UCSD dataset, we followed the same training and testing partition as in [12], [13], [14], [15], [16], i.e., Frames 601-1400 were used for training and the remaining frames for testing. For the Mall dataset, we used the first 800 frames for training and kept the rest 1200 frames for testing as [14], [15], [16]. For generating spatial regions, we follow the same cell-size setting in [14]:  $6 \times 4$ -cells for the UCSD dataset and  $8 \times 8$ -cells for the Mall dataset. In time domain, the size of a temporal sliding window of frames (||F|| = 5 for both datasets) is selected by cross-validation. Different widths of the sliding window were evaluated and illustrated its effect on the performance in the experiments.

**Evaluation Metrics –** We employed three common metrics:

- $\begin{array}{l} \bullet \ \textit{mean absolute error} \colon \mathsf{mae} = \frac{1}{N} \sum_{i=1}^N |v_i \hat{v}_i|, \\ \bullet \ \textit{mean squared error} \colon \mathsf{mse} = \frac{1}{N} \sum_{i=1}^N (v_i \hat{v}_i)^2, \, \mathsf{and} \\ \bullet \ \textit{mean deviation error} \colon \mathsf{mde} = \frac{1}{N} \sum_{i=1}^N \frac{|v_i \hat{v}_i|}{v_i}, \end{array}$

where  $v_i$  is the actual count and  $\hat{v}_i$  is the estimated count.

Comparative Methods - We compared our results to the following recent methods:

- Multiple Localised Regressors (MLR) [23]. The input and output for the model is the feature within each cell and the people count in the corresponding cell respectively. The ridge regression model is used to eliminate the effect of using different regression models.
- Single global model with global feature (1) ridge regression (RR) [53], and (2) Gaussian processes regression (GPR) with linear + RBF kernel as in [12]. These models

- employ global features as their input and the crowd density of the whole image as their output.
- Multi-output ridge regression (MORR) model with linear kernel [14]. The features and pedestrian count from each localised cell are concatenated into a vector-formed feature input and label output respectively.
- Weighted ridge regression (WRR) [16]. Weighted ridge regression with linear kernel with global features as input, pedestrian density as output and back-propagated error as sample weights.
- Ridge regression with cumulative attributes (CA-RR) [15]. A cumulative attribute space is inserted into lowlevel global features and global pedestrian count, which utilises ridge regression with linear kernel as the second layer regression model.
- The proposed framework with spatio-temporal tensor features and tensor ridge regression (TRR) described in Section V-B.

For all models, their free parameters were tuned using 4-fold cross-validation.

# B. Comparison with State-Of-The-Arts

Table III. COMPARATIVE EVALUATION WITH STATE-OF-THE-ARTS.

| Method     | U    | UCSD [12] |       |      | Mall [14] |       |  |
|------------|------|-----------|-------|------|-----------|-------|--|
|            | mae  | mse       | mde   | mae  | mse       | mde   |  |
| MLR [23]   | 2.60 | 10.1      | 0.124 | 3.90 | 23.9      | 0.119 |  |
| GPR [12]   | 2.30 | 8.21      | 0.114 | 3.72 | 20.1      | 0.115 |  |
| RR [14]    | 2.25 | 7.82      | 0.110 | 3.59 | 19.0      | 0.110 |  |
| MORR [14]  | 2.29 | 8.08      | 0.108 | 3.15 | 15.7      | 0.098 |  |
| WRR [16]   | 2.11 | 7.11      | 0.105 | 3.58 | 19.0      | 0.110 |  |
| CA-RR [15] | 2.07 | 6.86      | 0.102 | 3.43 | 17.7      | 0.105 |  |
| TRR        | 1.97 | 5.86      | 0.091 | 2.59 | 11.0      | 0.081 |  |

Performance Evaluation on Region of Interest - Table III compares crowd estimation performances of the seven regression-based frameworks and using the both benchmarking datasets. The results show that our tensor ridge regression (TRR) with spatio-temporal tensor features can achieve the best performance for both datasets and using all three metrics in comparison with state-of-the-art methods. The direct competitors using the variants of ridge regression with linear kernel are MLR [23], RR [14], MORR [14], WRR [16], and CA-RR [15]. Compared to MORR [14] with considering spatial correlation by multi-output ridge regression, our TRR can beat it owing to mining additional dependency in the temporal domain. Recently, state-of-the-art cumulative attribute learning framework with ridge regression (CA-RR) for pedestrian density estimation was proposed by Chen et al. [15] by introducing an intermediate attribute representation. However, TRR can still significantly outperform CA-RR by reducing at least 4.83% error on the UCSD benchmark and 24.4% error on the Mall dataset. It is worth pointing out that TRR can also incorporate the cumulative attributes to further improve the performance, which is out of the scope of this paper.

Table IV. Performance Evaluation when Crossing A Virtual Gate (Line of Interest), where Regions 1 and 2 in the Mall Dataset Consists of Cells 11, 12, 19, 20 and Cells 43, 44, 51, 52, Respectively [14].



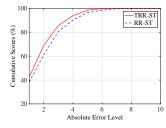
| Method    | Re   | Region 1 (R1) |       | Region 2 (R2) |      |       |
|-----------|------|---------------|-------|---------------|------|-------|
|           | mae  | mse           | mde   | mae           | mse  | mde   |
| MLR [23]  | 0.82 | 1.45          | 0.361 | 0.71          | 1.24 | 0.331 |
| MORR [14] | 0.76 | 1.22          | 0.331 | 0.67          | 1.12 | 0.306 |
| TRR       | 0.72 | 0.93          | 0.324 | 0.67          | 0.92 | 0.303 |

Performance Evaluation on Crossing Virtual Gates – Table IV illustrates that superior performance can be achieved by our TRR model compared to the recent methods in [14], [23]. The explanation is that features from the corresponding regions (R1 and R2) and other nearby spatially-localised cells (context) in a video shot can provide additional information for the multilinear regressor, e.g., people likely to soon enter the virtual gate region. MORR method in [14] also considered spatial correlation across localised cells, but the simultaneous correlation discovery in the time domain contributes to our TRR to perform better. Note that the proposed model does not need to be altered for the virtual gate task, but it only affects to the regression outputs.

#### C. Evaluation on Tensor Features Construction

Table V. Comparison with Spatio-Temporal Tensor and Concatenated Vector Features.

| Method | UCSD [12] |      |       | I    | Mall [1 | 4]    |
|--------|-----------|------|-------|------|---------|-------|
|        | mae       | mse  | mde   | mae  | mse     | mde   |
| RR-ST  | 2.21      | 7.48 | 0.105 | 2.78 | 12.3    | 0.086 |
| TRR-ST | 1.97      | 5.86 | 0.091 | 2.59 | 11.0    | 0.081 |



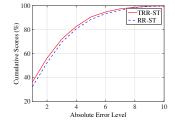


Figure 6. Cumulative scores [15] with different values of error tolerance (the higher the better). Left: UCSD; Right: Mall.

**Tensor vs. Concatenated Vector Features** – A key novelty of our model is the exploitation and usage of tensor feature representation. As explained in Section III, compared with ridge regression using the conventional concatenated vector formed features (RR-ST), the advantages of our tensor feature is that the latent structure of tensors can be learned by a multilinear regression model, i.e., tensor ridge regression. It is evident from Table V and Figure 6 that constructing such tensor-formed features is a significant advantage for a regression framework that performs crowd counting.

Table VI. SPATIO-TEMPORAL (TRR-ST) VS. SPATIAL TENSOR FEATURES (TRR-S).

| Method          | UCSD [12]           |                     |                       | Mall [14] |                     |                       |
|-----------------|---------------------|---------------------|-----------------------|-----------|---------------------|-----------------------|
|                 | mae                 | mse                 | mde                   | mae       | mse                 | mde                   |
| TRR-S<br>TRR-ST | 2.26<br><b>1.97</b> | 7.83<br><b>5.86</b> | 0.106<br><b>0.091</b> |           | 15.6<br><b>11.0</b> | 0.097<br><b>0.081</b> |

**Spatio-Temporal vs. Spatial Tensor** – Spatio-temporal and spatial tensor features are fed to the identical tensor ridge regression with linear kernel for counting pedestrians. The results illustrated in Table VI demonstrate that the latent correlated features in the neighbouring frames can support to improve the performance of pedestrian density estimation.

Table VII. Spatio-Temporal (TRR-ST) vs. Temporal Tensor Features (TRR-T).

| Method | UCSD [12] |      |       | Mall [14] |      |       |
|--------|-----------|------|-------|-----------|------|-------|
|        | mae       | mse  | mde   | mae       | mse  | mde   |
| TRR-T  | 2.20      | 7.54 | 0.107 | 3.39      | 17.8 | 0.104 |
| TRR-ST | 1.97      | 5.86 | 0.091 | 2.59      | 11.0 | 0.081 |

**Spatial-Temporal vs. Temporal Tensor** – When using spatiotemporal features and temporal features of the global region in a number of frames for tensor ridge regression, the results in Table VII show that only considering the latent dependency in the temporal domain can be less effective due to omitting varying spatially-localised pedestrian density patterns compared to the proposed spatio-temporal tensor features.

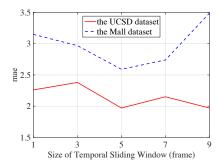


Figure 7. Evaluation on the effect of the size of the temporal sliding window, i.e., the number of neighbouring frames in the shot that forms the spatio-temporal tensor features.

**Evaluation on the Size of Temporal Sliding Window** – To show the size of temporal sliding window affecting on the

performance, in Figure 7, the number of frames in the sliding window is changed and the results are evaluated for the both datasets. We can observe that the performance of the UCSD dataset can be comparable especially when the window size is long enough ( $\geq 5$  frames, whereas the Mall dataset has a clear optimised size (i.e., 5 frames). It can be explained by the different frame rates of the two datasets. For example, for a video shot of 5 frames in the experiments, the time duration for the UCSD data is 0.5 seconds, while that for the Mall data it is more than 2 seconds. As a result, the UCSD dataset with much higher frame rate produces more correlated features between neighbouring frames in the time domain as compared to the Mall dataset under the same settings, which makes regression learning for the Mall dataset more difficult. However, even with a very low frame rate, the proposed framework can also achieve significant improvement over the existing counting-byregression methods.

Table VIII. EVALUATION ON THE EFFECT OF THE CELL-SIZE ON THE MALL BENCHMARK USING TRR MODEL.

| Cell-Size      | Mall [14] |      |       |  |  |  |
|----------------|-----------|------|-------|--|--|--|
|                | mae       | mse  | mde   |  |  |  |
| $1 \times 1$   | 3.39      | 17.8 | 0.104 |  |  |  |
| $2 \times 2$   | 2.89      | 12.8 | 0.090 |  |  |  |
| $4 \times 4$   | 2.79      | 12.3 | 0.086 |  |  |  |
| $8 \times 8$   | 2.59      | 11.0 | 0.081 |  |  |  |
| $16 \times 16$ | 2.62      | 11.1 | 0.082 |  |  |  |

**Evaluation on the Spatial Cell Size** – For the completeness of our work, the experiment to investigate the effect of the spatial cell-size was conducted. The results illustrated in Table VIII show that the counting performance improves with increasing the size of spatial cells until the optimal size is achieved, which supports our motivation about mining spatial correlation of features.

# VII. CONCLUSION

This paper proposed a novel multilinear counting-byregression framework with spatio-temporal tensor features to analyse the density of pedestrians in public scenes. Owing to the rich feature structure, the proposed framework can significantly outperform the state-of-the-art methods for crowd counting in the both practical settings: spatial Region of Interest and temporal Line of Interest, verified by the public USCD and Mall datasets. In our future work, we will address the two intriguing research questions, model transfer between datasets and automatic perspective correction, that will provide off-the-shelf solution with minimal involvement of humans for visual crowd density analysis.

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